

San Diego State University Research Foundation

Compliance Report
June 30, 2011

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**San Diego State University Research Foundation
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**Schedule of Expenditures of Federal Awards
Year Ended June 30, 2011**

<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Research and Development:			
U.S. Department of Agriculture:			
Direct Programs:			
Department of Agriculture Contracts/Letters of Agreement	10.000		\$ 33,503
ARRA - Recovery Act of 2009: Wildland Fire Management	10.688		174,634
			<u>208,137</u>
Pass-through Emory University			
Grants for Agricultural Research Competitive Research	10.206	5-23590-G2	144,315
Pass-through Public Health Institute			
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561	AGREEMENT NO. 1016626	7,695
Pass-through Oregon State University			
Cooperative Forestry Assistance	10.664	DA510B-B	5,061
Total U.S. Department of Agriculture			<u>365,208</u>
U.S. Department of Commerce:			
Direct Programs:			
Department of Commerce Contracts/Letters of Agreement	11.000		74,973
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400		12,564
Coastal Zone Management Administration Awards	11.419		9,038
Coastal Zone Management Estuarine Research Reserves	11.420		20,422
			<u>116,997</u>
Pass-through California Sea Grant College Program			
Sea Grant Support	11.417	E/PD-4/NA08OAR4170855/10288708	19,466
Sea Grant Support	11.417	NA08OAR4170669 & R/CONT-205	15,381
Sea Grant Support	11.417	PO 10278955 - R/OPCFISH-03	841
Sea Grant Support	11.417	R/CONT-205 NA10OAR4170060	46,237
Sea Grant Support	11.417	R/ENV-216EPD/PO10309608	20,911
Total U.S. Department of Commerce			<u>219,833</u>
U.S. Department of Education			
Direct Programs:			
Joint US/EU Consortia for Cooperation in Higher Education and Vocational Education	84.116J		12,848
Research in Special Education	84.324		1,700
Combined Priority for Personnel Preparation	84.325K		2,957
			<u>17,505</u>
Pass-through American Samoa Government Department of Education			
Rehabilitation Services Vocational Rehabilitation Grants to States	84.126	57031	9,261
Pass-through San Diego Unified School District			
ARRA - Special Education Grants to States, Recovery Act	84.391	SU-11-0430-10	5,498
Pass-through Nevada Department of Employment Training			
Rehabilitation Services Vocational Rehabilitation Grants to States	84.126	1468-11-REHAB	23,302
Total U.S. Department of Education			<u>55,566</u>
U.S. Department of Defense:			
Direct Programs:			
Department of Defense Contracts/Letters of Agreement	12.000		2,739,851
Collaborative Research and Development	12.114		76,455
Basic and Applied Scientific Research	12.300		4,887,526
Basic Scientific Research	12.431		220,758
Air Force Defense Research Sciences Program	12.800		203,885
			<u>8,128,475</u>

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Pass-through AECOM Technology Corporation Department of Defense Contracts/Letters of Agreement	12.000	07080423.87	\$ 9,350
Pass-through Computer Sciences Corporation Department of Defense Contracts/Letters of Agreement	12.000	PO P43006	36,711
Pass-through EDAW Department of Defense Contracts/Letters of Agreement	12.000	09080431.05	14,244
Pass-through General Atomics Corporation Department of Defense Contracts/Letters of Agreement	12.000	PO 4500019352	18,723
Pass-through Menon and Associates Department of Defense Contracts/Letters of Agreement	12.000	09-1481	(1,141)
Pass-through NextGen Aeronautics Department of Defense Contracts/Letters of Agreement	12.000	PO 10-09 3043 ANTENNA	32,000
Department of Defense Contracts/Letters of Agreement	12.000	PO 11-05 SALES ORDER 3053	10,440
Pass-through Space Micro Inc. Department of Defense Contracts/Letters of Agreement	12.000	P.O. NO. 2557	20,999
Pass-through University of California at San Diego Basic and Applied Scientific Research	12.300	PO 10290720	47,123
Military Medical Research and Development	12.420	PO 10312496-SUB	35,490
Pass-through TrellisWare Inc. Air Force Defense Research Sciences Program	12.800	SC110401	1,524
Total U.S. Department of Defense			<u>8,353,938</u>
U.S. Department of Energy:			
Direct Programs:			
Department of Energy Contracts/Letters of Agreement	81.000		78,042
Office of Science Financial Assistance Program	81.049		193,795
Conservation Research and Development	81.086		87,437
ARRA - Energy Efficiency and Renewable Energy Information Dissemination Outreach Training and Technical Analysis/ Assistance	81.117		59,893
ARRA - Geologic Sequestration Training and Research Grant Program	81.133		86,115
			<u>505,282</u>
Pass-through University of California Office of the President Department of Energy Contracts/Letters of Agreement	81.000	6936929	42,768
Pass-through University of California at San Diego Energy Related Inventions	81.036	PO 10314194	8,748
Pass-through Duke University Office of Science Financial Assistance Program	81.049	07-SC-NICCR-1034	21,284
Pass-through University of Utah Office of Science Financial Assistance Program	81.049	10001203	44,210
Office of Science Financial Assistance Program	81.049	10019040-S1	9,848
Pass-through Northern Arizona University Office of Science Financial Assistance Program	81.049	SUB AWARD MPC-35UV01	47,695
Pass-through University of California at Berkeley Renewable Energy Research and Development	81.087	00007105 - PO 2000020146	98,876
Pass-through University of Minnesota ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)	81.122	A000211538	5,982
Total U.S. Department of Energy			<u>784,693</u>
U.S. Department of Interior:			
Direct Programs:			
Department of Interior Contracts/Letters of Agreement	15.000		3,537
Challenge Cost Share (B)	15.642		19,436
Earthquake Hazards Reduction Program	15.807		247,535
			<u>270,508</u>

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Pass-through San Diego State University			
Department of Interior Contracts/Letters of Agreement	15.000	PO 40070415	\$ 7,220
Department of Interior Contracts/Letters of Agreement	15.000	PO 40070818	18,500
Pass-through Metro Water District of Southern California			
Water Conservation Field Services Program (WCFSP)	15.530	AGRMT 9984	294
Pass-through California Department of Fish and Game			
Wildlife Restoration	15.611	PO75009	3,887
Pass-through University of Southern California			
Earthquake Hazards Reduction Program	15.807	PO 117473	30,552
Earthquake Hazards Reduction Program	15.807	PO 117473 AMND 1	377
Earthquake Hazards Reduction Program	15.807	117473CO05	57,314
Pass-through California Department of Parks and Recreation			
Historic Preservation Fund Grants In Aid	15.904	C0911048	6,500
Total U.S. Department of Interior			<u>395,152</u>
U.S. Department of Justice:			
Direct Programs:			
National Institute of Justice Research Evaluation and			
Development Project Grants	16.560		161,492
Total U.S. Department of Justice			<u>161,492</u>
Environmental Protection Agency:			
Direct Programs:			
Congressionally Mandated Projects	66.202		875,668
Pass-through University of Texas El Paso			
Congressionally Mandated Projects	66.202	26-3008-22-63	4,427
Total Environmental Protection Agency			<u>880,095</u>
U.S. Department of Transportation			
Pass-through Scott Mitchell Consulting			
Department of Transportation Contracts/Letters of Agreement	20.000	PO NO: 001	77,117
Total U.S. Department of Transportation			<u>77,117</u>
U.S. Department of Health and Human Services (DHHS):			
Direct Programs:			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000		3,273,579
Pass-through Regents of the University of California			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000	Purchase Order 10288230	1,939,964
Total DHHS			<u>5,213,543</u>
Administration for Children and Families (ACF):			
Direct Programs:			
Head Start	93.600		134,259
Child Abuse and Neglect Discretionary Activities	93.670		135,957
			<u>270,216</u>
Pass-through Imperial Valley Regional Occupational Program			
Child Abuse and Neglect Discretionary Activities	93.670	MOU DTD 2/3/09	2,210
Total ACF			<u>272,426</u>
Administration on Aging:			
Pass-through California State Independent Living Council			
Affordable Care Act-Aging and Disability Resource Center	93.517	10-ILC-008 INDEX CODE 0100	6,616
Total Administration on Aging			<u>6,616</u>

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Center for Disease Control (CDC):			
Direct Programs:			
Innovations in Applied Public Health Research (B)	93.061		\$ 384,186
Centers for Research and Demonstration for Health	93.135		<u>1,074,523</u>
			1,458,709
Pass-through Family Health Centers of San Diego			
Acquired Immunodeficiency Syndrome Activity	93.118	SUBCONTRACT DATED 02/11/2010	3,938
Pass-through Association of Schools of Public Health			
Centers for Disease Control and Prevention	93.283	S3902-27/27	<u>105,341</u>
Total CDC			<u>1,567,988</u>
Health Resources and Services Administration (HRSA):			
Direct Programs:			
Maternal and Child Health Federal Consolidated Programs	93.110		<u>93,231</u>
Total HRSA			<u>93,231</u>
National Institute on Deafness and Other			
Communication Disorders:			
Direct Programs:			
Research Related to Deafness and Communication Disorders	93.173		1,972,354
Pass-through House Ear Institute			
Research Related to Deafness and Communication Disorders	93.173	RFA-DC-08-001	192,612
Pass-through Ohio University			
Research Related to Deafness and Communication Disorders	93.173	UT16312	89,171
Pass-through University of California at Irvine			
Research Related to Deafness and Communication Disorders	93.173	2011-2563	52,590
Total National Institute on Deafness and other Communication Disorders			<u>2,306,727</u>
National Institutes of Health (NIH):			
Direct Programs:			
Mental Health Research Grants	93.242		2,966,150
Alcohol National Research Service Awards for Research Training	93.272		369,624
Alcohol Research Programs	93.273		3,064,525
Drug Abuse Scientist Development Awards Research	93.277		30,354
Drug Abuse and Addiction Research Programs	93.279		52,089
Mental Health Research Career/Scientist Development Awards	93.281		176,906
Mental Health National Research Awards	93.282		55,595
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		53,830
Minority Health and Health Disparities Research	93.307		1,577,207
Academic Research Enhancement Award	93.390		45,844
Cancer Detection and Diagnosis Research	93.394		2,207,013
Cancer Treatment Research	93.395		106,958
Cancer Centers Support Grants	93.397		1,309,052
Cancer Control	93.399		21,325
ARRA - Trans-NIH Recovery Act Research Support	93.701		5,913,464
Cardiovascular Diseases Research	93.837		5,755,194
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		204,429
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		769,030

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Extramural Research Programs in the Neurosciences & Neurological Disorders	93.853		\$ 487,665
Allergy Immunology and Transplantation Research	93.855		47,456
Biomedical Research and Research Training	93.859		1,735,325
Child Health and Human Development Extramural Research	93.865		1,112,254
Aging Research	93.866		1,850,860
Vision Research	93.867		188,364
International Research and Research Training	93.989		56,261
			<u>30,156,774</u>
Pass-through Seattle Childrens Hospital & Regional Med Ctr Biometry and Risk Estimation Health Risks from Environmental Exposures	93.115	414470020101-SDSU01	2,262
Pass-through University of California at San Francisco Oral Diseases and Disorders Research	93.121	5379SC,A1,A2	7,655
Pass-through Columbus Childrens Research Institute Mental Health Research Grants	93.242	175204	1,280
Mental Health Research Grants	93.242	513907	294
Pass-through Rady Children's Hospital San Diego Mental Health Research Grants	93.242	662-94193560	172,688
Pass-through University of Pittsburgh Mental Health Research Grants	93.242	005385/115230-1	34,141
Kidney Diseases Urology and Hematology Research	93.849	PAR-03-045	214,675
Pass-through Indiana University Alcohol Research Programs	93.273	400269676 400269677 400278013	2,948
Pass-through University of California at Los Angeles Alcohol Research Programs	93.273	SUBAWARD NO. 1581 G JB281	58,877
Drug Abuse and Addiction Research Programs	93.279	1580 G FE665 A02	13,325
Pass-through Oregon Social Learning Center Inc Drug Abuse and Addiction Research Programs	93.279	SUB (PRIME 1RO1DA020172-01A1)	4,099
Pass-through University of Utah Trans NIH Research Support	93.310	10011765-02	11,030
Pass-through Scripps Research Institute National Center for Research Resources	93.389	PO 5-22746, 5-23174, 5-23269	27,176
Pass-through Klein Buendel Inc. Cancer Cause and Prevention Research	93.393	0264-0132-000	190,534
Pass-through New York University School of Medicine Cancer Detection and Diagnosis Research	93.394	001979	18,860
Cancer Control	93.399	0186592	18,851
Pass-through University of Texas San Antonio Cancer Centers Support Grants	93.397	U54CA153511	37,223
Pass-through California State University Fullerton Cancer Control	93.399	S-4131-SDSU	262
Pass-through University of Texas Health Science Center at San Antonio Cancer Control	93.399	128673/128315	59,555
Pass-through Hospital for Special Surgery ARRA - Trans-NIH Recovery Act Research Support	93.701	1RC4AR060546-01	80,336
Pass-through Scripps Research Institute ARRA - Trans-NIH Recovery Act Research Support	93.701	3UL1RR025774-02S2	53,815
Pass-through University of Maryland ARRA - Trans-NIH Recovery Act Research Support	93.701	SR00001149	113,033
Pass-through Washington University in St. Louis ARRA - Trans-NIH Recovery Act Research Support	93.701	WU-10-209	79,285
Pass-through RAND Corporation Cardiovascular Diseases Research	93.837	AWARD 9920080001, AMEND#3	117,341
Pass-through University of California at San Diego Alcohol Research Programs	93.273	POS 10311739-SUB; 10311740-SUB	11,920

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National Center for Research Resources	93.389	PO 10310850-SUB	\$ 28,517
Cancer Detection and Diagnosis Research	93.394	PO 10311554 SUB	23,902
Cancer Research Manpower	93.398	PO 10302056	56,964
Cardiovascular Diseases Research	93.837	PO 10290654	5,437
Cardiovascular Diseases Research	93.837	PO 10302054	51,566
Cardiovascular Diseases Research	93.837	PO 10310190-SUB	42,198
Cardiovascular Diseases Research	93.837	PO 10313122	50,574
Extramural Research Programs in the Neurosciences & Neurological Disorders	93.853	PO 10290841	132,551
Allergy Immunology and Transplantation Research	93.855	10303640-SUB	2,562
Allergy Immunology and Transplantation Research	93.855	PO 10279542	18,275
Child Health and Human Development Extramural Research	93.865	PO 10314027-SUB	12,402
Pass-through California State University San Marcos Allergy Immunology and Transplantation Research	93.855	UARSC-BMC-85669	3,207
Pass-through Lucigen Corporation Biomedical Research and Research Training	93.859	AGMT DTD 04/19/10	243,784
Pass-through University of Wisconsin-Madison Population Research	93.864	017K673	279,215
Total NIH			<u>32,439,393</u>
Indian Health Service			
Pass-through Indian Health Council			
Epidemiology Cooperative Agreements	93.231	AGMT DTD 3-14-2011	3,566
Epidemiology Cooperative Agreements	93.231	AGMT DTD 11/30/09	23,346
Research and Demonstration Projects for Indian Health	93.933	AGMT DTD 11-30-2010	83,486
Total Indian Health Service			<u>110,398</u>
Office of Population Affairs			
Pass-through Family Health Centers of San Diego Family Planning Service Delivery Improvement Research	93.974	FPRPA006042-02-01	16,213
Total Office of Population Affairs			<u>16,213</u>
Office of the Secretary			
Pass-through Association of American Indian Physicians ARRA-Prevention and Wellness-Leveraging National Organizations	93.731	2010 ALR CONTRACT	14,650
Total Office of the Secretary			<u>14,650</u>
Total U.S. Department of Health and Human Services			<u>42,041,185</u>
U.S. Department of Homeland Security			
Pass-through University of Arizona			
Department of Homeland Security Contracts/Letters of Agreement	97.000	PO Y502654/2008-ST-061-BS0002	15,655
Centers for Homeland Security (B)	97.061	PO Y50264/2008-ST-061-BS0002	71,097
Pass-through Johns Hopkins University			
Department of Homeland Security Contracts/Letters of Agreement	97.000	966713	94,526
Pass-through University of Maryland			
Centers for Homeland Security (B)	97.061	Z930103	5,265
Total U.S. Department of Homeland Security			<u>186,543</u>

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Federal Grantor/Pass-Through Grantor Program or Cluster Title	Catalog of Federal Domestic Assistance Number	Pass-Through Entity Identifying Number	Federal Expenditures
National Aeronautics & Space Administration:			
Direct Programs:			
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000		\$ 364,671
Aerospace Education Services Program	43.001		83,887
ARRA - Aerospace Education Services Program	43.001		145,316
Technology Transfer	43.002		6,707
			600,581
Pass-through California Space Grant Consortium			
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	P1274778	6,000
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	P1277897	7,952
Pass-through Space Telescope Science Institute			
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	HST-AR-10673.05-A	18,028
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	HST-GO-12282.01-A	7,940
Total National Aeronautics & Space Administration			640,501
National Science Foundation:			
Direct Programs:			
Engineering Grants	47.041		385,110
Mathematical and Physical Sciences	47.049		826,896
Geosciences	47.050		1,482,109
Computer and Information Science and Engineering	47.070		309,586
Biological Sciences	47.074		1,340,805
Social Behavioral and Economic Sciences	47.075		389,027
Education and Human Resources	47.076		2,520,469
Polar Programs	47.078		242,733
International Science and Engineering (OISE) (B)	47.079		29,547
Office of Cyberinfrastructure	47.080		200,745
ARRA - Trans-NSF Recovery Act Research Support	47.082		760,776
			8,487,803
Pass-through Arizona State University			
Engineering Grants	47.041	10-403	6,656
Pass-through Kent BioEnergy Corporation			
Engineering Grants	47.041	LTR DTD 8/24/10	57,206
Pass-through the University of California at San Diego			
Engineering Grants	47.041	PO 10302050	38,947
Biological Sciences	47.074	PO 10301407-001	118,778
Education and Human Resources	47.076	PO 10282422	100,870
Pass-through Pennsylvania State University			
Geosciences	47.050	4537150	12,208
Pass-through Purdue University			
Geosciences	47.050	4101-32545	106,262
Pass-through the University of Southern California			
Geosciences	47.050	149119	57,539
Geosciences	47.050	PO 119513	118,936
ARRA - Trans-NSF Recovery Act Research Support	47.082	PO 137257	61,461
Pass-through Auburn University Foundation			
Computer and Information Science and Engineering	47.070	CONTRACT 08-ENG-200204-SDSU	8,858
Pass-through City University of New York - Hunter College			
Social Behavioral and Economic Sciences	47.075	40B13-C	26,612
Pass-through Gallaudet University			
Social Behavioral and Economic Sciences	47.075	SUB AGREEMENT DATED 1/23/09	24,108

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Pass-through Arizona Board of Regents Education and Human Resources	47.076	SUBAWARD NO. 10-342	\$ 41,712
Pass-through Mathematical Association of America Education and Human Resources	47.076	MOU DTD 5/5/10	26,839
Pass-through Science Museum of Minnesota Education and Human Resources	47.076	AGREEMENT DTD 10/15/09	98,341
Pass-through SRI International Education and Human Resources	47.076	43-000201	48,912
Pass-through Texas A & M University Research Foundation Education and Human Resources	47.076	A2675	43,603
Pass-through University of California at Santa Cruz Education and Human Resources	47.076	SUBAWARD SC080682 AMEND 2	196,936
Pass-through University of Georgia Education and Human Resources	47.076	DRL-0903411-001	34,931
Pass-through University of Georgia Education and Human Resources	47.076	RR216-216/3503168	(4,008)
Pass-through University of Massachusetts at Dartmouth Education and Human Resources	47.076	7894SDSU	20,064
Pass-through University of Michigan International Science and Engineering (OISE) (B)	47.079	SUBAWARD NO. 3000806719	84,052
Pass-through Indiana University Office of Cyberinfrastructure	47.080	350055 OF OCI-0721652	4,115
Total National Science Foundation			<u>9,821,741</u>
Total Research and Development Cluster			* <u>\$ 63,983,064</u>
Other Federal Awards:			
U.S. Department of Agriculture:			
Pass-through California Department of Education Summer Food Service Program for Children			
	10.559	37-7762-7V	\$ 9,809
Pass-through California Department of Public Health ARRA - Special Supplemental Nutrition Program for Women, Infants and Children			
	10.557	AGMT 08-85465, ARRA 001 & 002	141,709
Special Supplemental Nutrition Program for Women, Infants and Children			
	10.557	08-85465	6,363,866
Total U.S. Department of Agriculture			<u>6,515,384</u>
U.S. Department of Commerce:			
Direct Programs:			
Public Telecommunications Facilities Planning and Construction			
	11.550		513,732
Total U.S. Department of Commerce			<u>513,732</u>
U.S. Department of Education:			
Direct Programs:			
National Resource Centers for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship			
	84.015A		137,791
Undergraduate International Studies and Foreign Language Program			
	84.016A		98,119
TRIO Student Support Services			
	84.042A		257,121
TRIO Talent Search			
	84.044		330,774
TRIO Upward Bound Program			
	84.047A		682,224
Fund for the Improvement of Postsecondary Education			
	84.116		245,802
Rehabilitation Long Term Training Vocational Rehabilitation Counseling			
	84.129B		128,041
Rehabilitation Administration			
	84.129C		75,147
Rehabilitation Technology			
	84.129E		120,979

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Rehabilitation of Individuals Who Are Mentally Ill	84.129H		\$ 79,329
Rehabilitation of Individuals Who are Deaf or Hard of Hearing	84.129Q		26
Job Development and Job Placement Services to Individuals with Disabilities	84.129R		115,535
Rehabilitation Long Term Training Comprehensive System of Personnel Development	84.129W		194,204
English Language Acquisition: National Professional Development Program	84.195N		589,434
Ronald E McNair Postbaccalaureate Achievement Program	84.217A		254,822
Centers for International Business Education Program	84.220A		362,554
Language Resource Centers Program	84.229A		341,285
Rehabilitation Services Demonstration and Training Programs	84.235		178,674
Rehabilitation Short Term Training	84.246		253,315
Rehabilitation Training Continuing Education	84.264		865,859
Rehabilitation Continuing Education Programs	84.264A		12
Capacity Building for Traditionally Underserved	84.315		288,274
Special Education Personnel Preparation to Improve Services and Results for Children with Disabilities	84.325		534,000
Combined Priority for Personnel Preparation	84.325K		592,963
Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education	84.333A		300,380
Gaining Early Awareness and Readiness for Undergraduate Programs GEAR UP	84.334A		1,046,947
			<u>8,073,611</u>
Pass-through American Samoa Government Department of Education			
Department of Education Contracts/Letters of Agreement	84.000	AGREEMENT DATED 5/26/10	105,957
ARRA-Consolidated Grants to the Outlying Areas	84.402	C56925	108,581
Pass-through Commonwealth of the Northern Marianas Islands			
Department of Education Contracts/Letters of Agreement	84.000	509450-OM	1,480
Rehabilitation Services Vocational Rehabilitation Grants to States	84.126	523525-OM	* 16,219
Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act	84.390	523589-OM	* 19,995
Pass-through University of California at Irvine			
Drug Free Schools and Communities National Programs	84.184	2011-2554	2,801
Pass-through California Department of Education			
Special Education Grants to States	84.027	10-14301-X842-00	253,325
Even Start State Educational Agencies	84.213	09-14381-X842-00	5,420
Even Start State Educational Agencies	84.213	10-14331-X842-00	125,233
Even Start State Educational Agencies	84.213	10-14381-X842-00	76,934
Even Start State Educational Agencies	84.213	09-14331-X842-00	3,975
Twenty First Century Community Learning Centers	84.287	08-14535-X842-4A	30,065
Twenty First Century Community Learning Centers	84.287	09-14350-X842-01	20,878
Twenty First Century Community Learning Centers	84.287	09-14535-X842-4A	130,718
Twenty First Century Community Learning Centers	84.287	09-14603-X842-4A	2,372
Twenty First Century Community Learning Centers	84.287	09-14604-X842-4A	3,769
Twenty First Century Community Learning Centers	84.287	10-14350-X842-01	50,213
Twenty First Century Community Learning Centers	84.287	10-14535-X842-4A	175,853
Twenty First Century Community Learning Centers	84.287	10-14603-X842-4A	423
Twenty First Century Community Learning Centers	84.287	10-14604-X842-4A	15,879
Pass-through Consortia of Administrators for Native American Rehab Inc.			
Capacity Building For Traditionally Underserved Populations, Recovery Act	84.406	AGREEMENT DTD 10/15/10	18,068
Pass-through Fallbrook Elementary School District			
Title I Grants to Local Educational Agencies	84.010	12-793866	2,155
Pass-through Guam Department of Vocational Rehabilitation			
Special Education Grants to States, Recovery Act	84.391	PO P106A05837	21,344

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Pass-through Lake Elsinore Unified School District Mathematics and Science Partnerships (B)	84.366	AGREEMENT DTD 5/13/10	\$ 178,706
Pass-through Oregon Department of Human Services Rehabilitation Services Vocational Rehabilitation Grants to States	84.126	132061	* 100,000
Pass-through San Ysidro School District Title I Grants to Local Educational Agencies	84.010	12-803319	5,335
Pass-through Seminole County Public Schools Title I Grants to Local Educational Agencies	84.010	2010-2011 NCUST/SEMINOLE COUNT	107,154
Pass-through Springdale School District English Language Acquisition Grants	84.365	PROF SRVCS AGRMT DTD 12/10/07	51,389
Pass-through University of California San Diego Drug and Violence Prevention Programs in Higher Education Validation Completion	84.184H	PO 10307853	24,285
Education Research, Development and Dissemination	84.305	R305A080692	14,840
Mathematics and Science Partnerships (B)	84.366	42082542	55,991
Mathematics and Science Partnerships (B)	84.366	42251383 DTD 1/28/11	100,035
Pass-through San Diego Unified School District Title I Grants to Local Educational Agencies	84.010	APPROVAL DATE 09/29/09	11,178
Pass-through Federated States of Micronesia Special Education Grants to States	84.027	2010 FSM RFP PRIORITY #4A	100,950
Special Education Grants to States	84.027	AGREEMENT DTD 041809	1,716
Pass-through Imperial Valley College Higher Education Institutional Aid	84.031	AGREEMENT DATED MARCH 3,2011	195,867
Pass-through Wahupa Educational Services, Inc. TRIO Educational Opportunity Centers	84.066	AGREEMENT DTD 8/31/09	81,486
Pass-through Washington State University National Institute on Disability and Rehabilitation	84.133	K575060, K585285, K621512	3,702
National Institute on Disability and Rehabilitation	84.133	SUBAWARD NO. 105899-G002338	3,806
Pass-through Troy University Joint US/EU Consortia for Cooperation in Higher Education and Vocational Education	84.116J	29/05/2007 EU-US MOU	27,736
Pass-through State of Hawaii Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act	84.390	DHS-11-VR-395	* 79,948
Pass-through California Department of Development Services Special Education Grants for Infants and Families with Disabilities	84.181	AGREEMENT HD099046	168,079
Pass-through Sweetwater Union High School District Gaining Early Awareness and Readiness for Undergraduate Programs	84.334	P334A050319-01	349,706
Safe and Drug Free Schools and Communities State Grants	84.186	35-287071	30,000
Pass-through Imperial County Office of Education Migrant Education Basic State Grant Program	84.011	AGREEMENT DTD 02/18/11	20,520
Migrant Education Basic State Grant Program	84.011	AGREEMENT DTD 4/28/10	55,009
Pass-through San Diego State University Rehabilitation Long Term Training	84.129	PO 40054918	3,600
Pass-through Corporation for Public Broadcasting Ready to Learn Television	84.295	ACCT NO. 10941	20,449
Pass-through University of Oregon Special Educational Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	84.326	223791A	14,507
Pass-through University of California Office of the President Improving Teacher Quality State Grants	84.367	09CSMP-CMP-SAN DIEGO AMD1	9,364
Improving Teacher Quality State Grants	84.367	1010GHC102	41,307
Improving Teacher Quality State Grants	84.367	CN090046	390

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Federal Grantor/Pass-Through Grantor Program or Cluster Title	Catalog of Federal Domestic Assistance Number	Pass-Through Entity Identifying Number	Federal Expenditures
Improving Teacher Quality State Grants	84.367	NCLB5-CFLP-SAN DIEGO	\$ 112
Improving Teacher Quality State Grants	84.367	NCLB6-CISP-SAN DIEGO	205
Improving Teacher Quality State Grants	84.367	NCLB6-CMP-SAN DIEGO	931
Improving Teacher Quality State Grants	84.367	NCLB7-CFLP-SAN DIEGO	49,194
Improving Teacher Quality State Grants	84.367	NCLB7-CISP-SAN DIEGO	38,799
Improving Teacher Quality State Grants	84.367	NCLB7-CMP-IMPERIAL VALLEY	11,864
Improving Teacher Quality State Grants	84.367	NCLB7-CMP-SAN DIEGO	23,026
Improving Teacher Quality State Grants	84.367	NCLB7-TCAP-SAN DIEGO	71,019
Pass-through California Postsecondary Education Commission			
Improving Teacher Quality State Grants	84.367	ITQ-01-250	71,841
Pass-through Phoenix Elementary School District #1			
Title I Grants to Local Educational Agencies	84.010	PO 1001529-1	35,939
Title I Grants to Local Educational Agencies	84.010	AGMT DTD 7/14/10	39,999
Pass-through California Department of Rehabilitation			
ARRA - Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act	84.390	27901A	* 882,069
ARRA - Rehabilitation Services/Vocational Rehabilitation Grants to States, Recovery Act	84.390	27635A	* (45,151)
Total U.S. Department of Education			<u>12,302,170</u>
U.S. Department of Defense:			
Direct Programs:			
Department of Defense Contracts/Letters of Agreement	12.000		787,388
Basic and Applied Scientific Research	12.300		28,870
Language Grant Program	12.900		76,153
Mathematical Sciences Grants Program	12.901		198,341
			<u>1,090,752</u>
Pass-through Science Applications International Corporation			
Department of Army Contracts/Letters of Agreement	12B	P010019294	13,292
Pass-through the Institute of International Education			
Foreign Language Training Navy	12.000	2009 ROTC U634007-1 SDSU	288,785
Foreign Language Training Navy	12.000	U634005 SDSU YR3 HQ00340820024	50,030
Pass-through South Carolina Research Authority			
Basic and Applied Scientific Research	12.300	2009-529	169,451
Basic Applied and Advanced Research in Science and Engineering	12.630	SUBCONTRACT NO. 2010-502	1,310,324
Pass-through California State University San Francisco			
Department of Defense Contracts/Letters of Agreement	12.000	S10-0038	31,812
Total U.S. Department of Defense			<u>2,954,446</u>
U.S. Department of Energy:			
Pass-through UT-Batelle, LLC			
Department of Energy Contracts/Letters of Agreement	81.000	4000089176	30,672
Total U.S. Department of Energy			<u>30,672</u>
U.S. Department of Interior:			
Direct Programs:			
US Geological Survey Research and Data Acquisition	15.808		1,041,843
Pass-through Little Italy Association			
Department of Interior Contracts/Letters of Agreement	15.000	AGMT DTD 2/10/11	19,084
Pass-through California Office of Historic Preservation			
Historic Preservation Fund Grants In Aid	15.904	06-09-21810/C8950517	9,600
Pass-through Groundwork San Diego-Chollas Creek			
ARRA-Conservation Activities by Youth Service Organizations	15.931	AGMT DTD 6/30/10	3,034
Total U.S. Department of Interior			<u>1,073,561</u>

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
U.S. Department of State			
Pass-through Institute of International Education			
Educational Exchange-Graduate Students (B)	19.400	AGMT DTD 6/7/10	\$ 89,701
Total U.S. Department of State			<u>89,701</u>
U.S. Department of Justice:			
Direct Programs:			
ARRA - Recovery Act-Voca Crime Victim Assistance Discretionary Grant Program	16.807		155,255
Pass-through Union of Pan Asian Communities			
Department of Justice Contracts/Letters of Agreement	16.000	MOU DTD 10/19/10	5,083
Pass-through Salvation Army			
Services for Trafficking Victims (B,C)	16.320	AGMT DTD 10/26/09	5,896
Total U.S. Department of Justice			<u>166,234</u>
U.S. Department of Health and Human Services (DHHS):			
Direct Programs:			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000		3,312
Pass-through California Department of Public Health			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000	10-95130	69,749
Pass-through California Department of Rehabilitation			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000	27937	37,607
Total DHHS			<u>110,668</u>
Administration for Children and Families (ACF):			
Direct Programs:			
Developmental Disabilities Project	93.631		254,349
Pass-through San Diego County Health and Human Services Agency			
Foster Care – Title IV-E	93.658	511851 AMND 08	50,647
Pass-through Imperial County Department of Social Services			
Temporary Assistance for Needy Families	93.558	AGREEMENT DTD 4/21/10	3,725
Temporary Assistance for Needy Families	93.558	M.O. 34	29,602
Pass-through California Department of Education			
ARRA-Child Care and Development Block Grant	93.713	CN100072	3,118
Pass-through California Department of Social Services			
Foster Care – Title IV-E	93.658	08-3036	2,228,318
Pass-through The Child and Family Policy Institute of California			
Promoting Safe and Stable Families	93.556	90 CW 1138	6,770
Promoting Safe and Stable Families	93.556	AGT 3/11/10	8,594
Pass-through University of California at Berkeley			
Foster Care – Title IV-E	93.658	00006326	(38)
Foster Care – Title IV-E	93.658	00006687	5,395
Foster Care – Title IV-E	93.658	7310	1,744,162
Foster Care – Title IV-E	93.658	PO 2000007079 AGMT 00007102	7,649
Total ACF			<u>4,342,291</u>
Center for Disease Control (CDC):			
Pass-through California Department of Public Health			
Public Health Emergency Preparedness	93.069	08-85629	1,209,206
Injury Prevention and Control Research and State and Community Based Programs	93.136	08-85635	14,889

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Injury Prevention and Control Research and State and Community Based Programs	93.136	10-10458	\$ 82,105
Cooperative Agreements for State Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919	08-85252 AO1	502,873
Pass-through San Diego State University ARRA-Prevention & Wellness-Communities Putting Prevention to Work FOA	93.724	40073571	478,624
Pass-through Family Health Centers of San Diego HIV Prevention Activities Non-Governmental Organization	93.939	FHCS/SDSUF	18,620
Pass-through Marshfield Clinic Occupational Safety and Health Program	93.262	11606-6500-57790	7,387
Pass-through Association of Schools of Public Health Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	S5018	58,243
Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	S5019	60,570
Pass-through University of California at San Francisco Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	0000310498	4,973
Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	6586SC	37,620
Total CDC			<u>2,475,110</u>
Centers for Medicare and Medicaid Services (CMS): Direct Programs:			
Medicaid Infrastructure Grants To Support Competitive Employment of People With Disabilities	93.768		3,462,448
Pass-through San Diego County Health and Human Services Medical Assistance Program	93.778	CONTRACT 508024	48,564
Pass-through University of California Berkeley Medical Assistance Program	93.778	SA6140-77729	388,742
Pass-through California Department of Health Services Health Care Financing Research Demonstrations and Evaluations	93.779	07-H9028	252,093
Total CMS			<u>4,151,847</u>
Health Resources and Services Administration (HRSA): Direct Programs:			
Public Health Training Centers Grant Program	93.249		246,266
Advanced Education Nursing Traineeships	93.358		26,463
ARRA - Public Health Traineeship Program	93.405		11,250
Public Health Traineeships	93.964		31,622
			<u>315,601</u>
Pass-through University of California at San Diego ARRA-Equipment to Enhance Training for Health Professionals	93.411	PO 10313801-SUB	67,151
Pass-through Family Health Centers of San Diego Maternal and Child Health Federal Consolidated Programs	93.110	AGMT DTD 1/20/10	10,211
HIV Emergency Relief Project Grants (B)	93.914	AGMT DTD 9/30/10	25,000
Pass-through University of California at Los Angeles Public Health Training Centers Grant Programs	93.249	1920 G GB602 AMEND 6	12,314
Pass-through University of California at San Diego Centers of Excellence	93.157	PO 10313605-SUB	6,872
Health Careers Opportunity Program	93.822	PO 10291903	246,309
Pass-through California Department of Health Care Services Maternal and Child Health Services Block Grant to the States	93.994	09-86208	35,869

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Pass-through California Department of Health Services			
Maternal and Child Health Services Block Grant to the States	93.994	06-55019	\$ 126,806
Maternal and Child Health Services Block Grant to the States	93.994	07-65013	977
Total HRSA			<u>847,110</u>
National Institutes of Health (NIH):			
Direct Programs:			
Alcohol Research Programs	93.273		191,323
Minority Health and Health Disparities Research	93.307		335,102
ARRA - Trans-NIH Recovery Act Research Support	93.701		57,440
Diabetes, Endocrinology and Metabolism Research	93.847		17,792
Biomedical Research and Research Training	93.859		156,267
Child Health and Human Development Extramural Research	93.865		(7)
			<u>757,917</u>
Pass-through Tulane University			
Child Health and Human Development Extramural Research	93.865	TUL-HSC-158-10/11	17,555
Pass-through California Department of Education			
ARRA - Trans-NIH Recovery Act Research Support	93.701	CN077029	1,021
Pass-through University of California at San Diego			
Alcohol Research Programs	93.273	10313754	23,378
ARRA - Trans-NIH Recovery Act Research Support	93.701	PO 10302057	36,878
Biomedical Research and Research Training	93.859	PO 10289805	101,405
Total NIH			<u>938,154</u>
Substance Abuse and Mental Health Services			
Administration:			
Pass-through San Diego County Alcohol and Drug Services			
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243	517876 08	217,030
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243	AGREEMENT NO 517876	860
Block Grants for Prevention and Treatment of Substance Abuse	93.959	AGMT 505519	471
Block Grants for Prevention and Treatment of Substance Abuse	93.959	AGMT 505519 AMND 010	117,529
Total Substance Abuse and Mental Health Services Administration:			<u>335,890</u>
Indian Health Service			
Pass-through Indian Health Council			
Epidemiology Cooperative Agreements	93.231	AGT DTD 10/19/09	3,376
Epidemiology Cooperative Agreements	93.231	CONTRACT DATED 11/18/10	61,024
Epidemiology Cooperative Agreements	93.231	LETTER DATED 10/6/09	6,767
Epidemiology Cooperative Agreements	93.231	LETTER DATED 10/6/09	39,471
Epidemiology Cooperative Agreements	93.231	LETTER DATED JAN. 26, 2011	6,335
Total Indian Health Service			<u>116,973</u>
Office of Population Affairs			
Pass-through San Diego Unified School District			
Adolescent Family Life Demonstration Projects	93.995	MOA DATED 05/21/07	21,422
Family Planning Service Delivery Improvement Research	93.974	LOA/AMND 1/AMND 2	5,000
Total Office of Population Affairs			<u>26,422</u>

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Federal Grantor/Pass-Through Grantor Program or Cluster Title	Catalog of Federal Domestic Assistance Number	Pass-Through Entity Identifying Number	Federal Expenditures
Office of the Secretary			
Pass-through California Department of Public Health			
Strengthening Public Health Services at the Outreach			
Offices of the U.S.-Mexico Border Health Commission	93.018	09-11572	\$ 68,499
National Bioterrorism Hospital Preparedness Program (B)	93.889	08-85549	61,947
Pass-through University of California at San Diego			
ARRA-Health Information Technology-Beacon			
Communities	93.727	10317649	50,775
Total Office of the Secretary			<u>181,221</u>
Total U.S. Department of Health and Human Services			<u>13,525,686</u>
National Aeronautics & Space Administration:			
Direct Programs:			
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000		<u>3,030</u>
Total National Aeronautics & Space Administration			<u>3,030</u>
National Endowment for the Humanities:			
Direct Programs:			
Promotion of the Humanities - Professional Development	45.163		<u>202,061</u>
Total National Endowment for the Humanities			<u>202,061</u>
National Science Foundation:			
Direct Programs:			
Mathematical and Physical Sciences	47.049		98,591
Education and Human Resources	47.076		520,932
International Science and Engineering (OISE) (B)	47.079		48,823
ARRA - Trans-NSF Recovery Act Research Support	47.082		* 892,332
			<u>1,560,678</u>
Pass-through University of California at San Diego			
Education and Human Resources	47.076	PO 10301713	17,917
Education and Human Resources	47.076	PO 10312408	30,832
Pass-through University of Alabama Tuscaloosa			
Education and Human Resources	47.076	06-061 AMEND 04	76,200
Pass-through CSU Sacramento University Enterprises, Inc			
Education and Human Resources	47.076	HRD-0802628 MOU 516741	(119)
Education and Human Resources	47.076	HRD-0802628-518681	49,564
Total National Science Foundation			<u>1,735,072</u>
Department of Housing and Urban Development:			
Pass-through Regional Task Force on the Homeless			
Supportive Housing Program	14.235	AGT DTD 12/18/09	33,045
Supportive Housing Program	14.235	AGT DTD 10/1/10	48,858
Total Department of Housing and Urban Development			<u>81,903</u>
Department of Labor			
Direct Programs:			
ARRA-Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	17.275		* 683,920
Pass-through Imperial County			
ARRA - WIA Adult Program (A)	17.258	AGREEMENT MO 6	61,502
Pass-through San Diego Workforce Partnership, Inc			
ARRA - WIA Adult Program (A)	17.258	608-01	86,103
Total Department of Labor			<u>831,525</u>

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Small Business Administration			
Direct Programs:			
Small Business Administration Contracts/Letters of Agreement	59.000		\$ 307,159
Total Small Business Administration			<u>307,159</u>
Department of Homeland Security:			
Pass-through City of San Diego Office of Homeland Security			
Urban Areas Security Initiative	97.008	AGRMT RR-301305	1,205,049
Homeland Security Grant Program (A)	97.067	RR-301305 EXTENSION	306,886
Pass-through San Diego State University			
Emergency Management Performance Grants	97.042	40074678	357,925
Total Department of Homeland Security			<u>1,869,860</u>
Agency for International Development			
Pass-through Council of State Governments-West			
Agency for International Development	98.000	11-LA-070-1767	4,672
Total Agency for International Development			<u>4,672</u>
Total Other Federal Awards			<u>\$ 42,206,868</u>
Total Expenditures of Federal Awards			<u>\$ 106,189,932</u>

* Denotes a major program

San Diego State University Research Foundation

Notes to Schedule of Expenditures of Federal Awards June 30, 2011

Note 1. Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards (the Schedule) includes the federal grant activity of San Diego State University Research Foundation (the Foundation) and is presented on the accrual basis of accounting. The information in the Schedule is presented in accordance with the requirements of Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in the Schedule may differ from amounts presented in, or used in preparation of, the financial statements.

Note 2. Contingencies

As a result of inquiries from an outside funding source, the Foundation conducted an in-depth review of specific grant funds to ensure costs were appropriately charged. As a result of the internal review, the Foundation has accrued approximately \$600,000 as of June 30, 2011. Management believes that the final outcome of these proceedings will not have a material adverse effect on the Foundation's Schedule of Expenditures of Federal Awards.

Note 3. Subrecipients

Of the federal expenditures presented in the schedule, San Diego State University Research Foundation provided federal awards to subrecipients as follows:

Program Title	Federal CFDA Number	Amount Provided to Subrecipients
Grants for Agricultural Research Competitive Research	10.206	\$ 3,029
Department of Commerce Contracts/Letters of Agreement	11.000	11,165
Sea Grant Support	11.417	8,557
Department of Defense Contracts/Letters of Agreement	12.000	378,326
Basic and Applied Scientific Research	12.300	2,222,537
National Institute of Justice Research Evaluation and Development Project Grants	16.560	20,627
ARRA-Recovery Act-Voca Crime Victim Assistance Discretionary Grant Program	16.807	16,677
ARRA-Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	17.275	363,093
Promotion of the Humanities Professional Development	45.163	24,395
Mathematical and Physical Sciences	47.049	43,382
Geosciences	47.050	38,382
Biological Sciences	47.074	165,772
Education and Human Resources	47.076	824,059
Polar Programs	47.078	161,742
ARRA-Trans-NSF Recovery Act Research Support	47.082	93,134

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**Notes to Schedule of Expenditures of Federal Awards
June 30, 2011**

Note 3. Subrecipients (Continued)

Program Title	Federal CFDA Number	Amount Provided to Subrecipients
Small Business Administration Contracts/Letters of Agreement	59.000	\$ 58,083
Congressionally Mandated Projects	66.202	703,991
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship	84.015A	67,389
Rehabilitation Short Term Training	84.246	61,722
Rehabilitation Training Continuing Education	84.264	58,498
Twenty First Century Community Learning Centers	84.287	163,938
Gaining Early Awareness and Readiness for Undergraduate Programs GEAR UP	84.334A	21,578
Department of Health and Human Services Contracts/Letters of Agreement	93.000	397,880
Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission	93.018	4,976
Public Health Emergency Preparedness	93.069	115,479
Maternal and Child Health Federal Consolidated Programs	93.110	54,982
Biometry and Risk Estimation Health Risks from Environmental Exposures	93.115	6,822
Centers for Research and Demonstration for Health	93.135	174,773
Injury Prevention and Control Research and State and Community Based Programs	93.136	5,000
Research Related to Deafness and Communication Disorders	93.173	109,836
Mental Health Research Grants	93.242	375,856
Public Health Training Centers Grant Program	93.249	43,803
Alcohol Research Programs	93.273	1,088,345
Centers for Disease Control and Prevention	93.283	30,768
Minority Health and Health Disparities Research	93.307	465,911
Cancer Detection and Diagnosis Research	93.394	91,206
Foster Care Title IV/E	93.658	106,037
ARRA-Trans-NIH Recovery Act Research Support	93.701	1,914,250
Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities	93.768	1,081,408
Health Care Financing Research Demonstrations and Evaluations	93.779	3,800
Cardiovascular Diseases Research	93.837	1,018,424
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	130,994
Biomedical Research and Research Training	93.859	5,000
Child Health and Human Development Extramural Research	93.865	399,239
Vision Research	93.867	71,831
International Research and Research Training	93.989	40,218
		\$ 13,246,914



**Independent Auditor's Report on Internal Control Over
Financial Reporting and on Compliance and Other
Matters Based on an Audit of Financial Statements
Performed in Accordance With
Government Auditing Standards**

The Board of Directors
San Diego State University Research Foundation

We have audited the financial statements of San Diego State University Research Foundation, a Component Unit of San Diego State University (the Foundation), as of and for the year ended June 30, 2011, and have issued our report thereon dated September 14, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Foundation's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Foundation's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Foundation's internal control over financial reporting.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Foundation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Audit Committee, Board of Directors, management, federal awarding agencies and pass-through entities, and is not intended to be, and should not be, used by anyone other than those specified parties.

McGladrey & Pullen, LLP

San Diego, CA
September 14, 2011



**Independent Auditor's Report on Compliance With
Requirements That Could Have a Direct and
Material Effect on Each Major Program and
Internal Control Over Compliance in
Accordance With OMB Circular A-133**

The Board of Directors
San Diego State University Foundation

Compliance

We have audited the compliance of San Diego State University Research Foundation, a Component Unit of San Diego State University (the Foundation) with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133, *Compliance Supplement*, that could have a direct and material effect on the Foundation's major federal programs for the year ended June 30, 2011. The Foundation's major federal programs are identified in the summary of auditor's results section of the accompanying *Schedule of Findings and Questioned Costs*. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Foundation's management. Our responsibility is to express an opinion on the Foundation's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Foundation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Foundation's compliance with those requirements.

In our opinion, the Foundation complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011.

Internal Control Over Compliance

Management of the Foundation is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the Foundation's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Foundation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the Foundation as of and for the year ended June 30, 2011 and have issued our report thereon dated September 14, 2011. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Audit Committee, Board of Directors, management, federal awarding agencies and pass-through entities, and is not intended to be, and should not be, used by anyone other than those specified parties.

McGladrey & Pullen, LLP

San Diego, CA
September 14, 2011

San Diego State University Research Foundation

**Schedule of Findings and Questioned Costs
Year Ended June 30, 2011**

II. Financial Statement Findings

A. Significant Deficiencies

None reported.

B. Compliance Findings

None reported.

III. Findings and Questioned Costs for Federal Awards

A. Significant Deficiencies

None reported.

B. Compliance Findings

None reported.

San Diego State University Research Foundation

**Summary Schedule of Prior Audit Findings
Year Ended June 30, 2011**

There were no audit findings reported for the year ended June 30, 2010.