NIH FORMS I
PROPOSAL SETUP AND ROUTING FORM QUESTIONS

SETUP QUESTIONS

PI NAME:

SPONSOR AGENCY NAME: FUNDING OPPORTUNITY NUMBER:

PROJECT TITLE:

PROJECT START DATE: PROJECT END DATE:

DEADLINE TYPE:

DEADLINE DATE: DEADLINE TIME:

PROPOSAL TYPE   If a resubmission or competing renewal, what is the NIH Application ID?

LOCATION OF THE PROJECT

On campus   Off campus

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HUMAN SUBJECTS

Will your proposal involve the use of human subjects?

Yes   No

If yes, is the project exempt?   If exempt, what is the exemption number?

Yes   No

If no, is IRB review pending?   Approval Date (leave blank if pending):

Yes   No

Does the study involve human participants?

Yes   No

Are the participants prospectively assigned to an intervention?

Yes   No

Is the study designed to evaluate the effect of the intervention on the participants?

Yes   No

Is the effect being evaluated a health-related biomedical or behavioral outcome?

Yes   No

If the answers to the 4 questions are yes, your study meets the NIH definition of a clinical trial, even if…

- You are studying healthy participants
- Your study does not have a comparison group (e.g., placebo or control)
- Your study is only designed to assess the pharmacokinetics, safety, and/or maximum tolerated dose of an investigational drug
- Your study is utilizing a behavioral intervention

Studies intended solely to refine measures are not considered clinical trials.

Also, see additional information at: https://grants.nih.gov/policy/clinical-trials/definition.htm

Provide the ClinicalTrials.gov Identifier (e.g., NCT87654321) for this trial, if applicable.

VERTEBRATE ANIMALS

Will your proposal involve the use of vertebrate animals?

Yes   No

If yes, is IACUC review pending?   Is there an IACUC approval date? (leave blank if pending)

Yes   No

If your proposal will involve the use of vertebrate animals; Are the vertebrate animals euthanized?

Yes   No

If yes to "euthanasia"; Is the method consistent with American Veterinary Association (AVMA) guidelines

Yes   No

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Will your proposal involve multiple principle investigators?   If yes, please list:

Yes   No

Will your proposal involve human embryonic stem cells?

    Yes   No

Will this proposal use a modular budget?

    Yes   No

Is proprietary/privileged information included in this application?

    Yes   No

Does this project have an actual/potential impact on the environment? If yes, explain (additional information may be required):

    Yes   No

Is the research performance site designated, or eligible to be designated as a historic place?

    Yes   No

Does this project involve activities outside the US or partnerships with international collaborators?

    Yes   No

If yes, please identify the countries involved:

ROUTING FORM QUESTIONS

PERSONNEL

Have you held a faculty appointment at SDSU for less than 3 years?

    Yes   No

Is this a fellowship or career development application with a related faculty mentor/sponsor?
(Does not include NIH K applications)

    Yes   No

Will you be designating other SDSU faculty as Co-PI(s)?

    Yes   No

If yes, please list names

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AGENCY/PROJECT

Please briefly describe, in two to three sentences, the project goal in the box below.

Is this project associated with any SDSU Center or Institute?

　　Yes　　　　No

If yes, specify the Center/Institute:

Does this project include funding for either the development or offering of courses where individual students will be paying/registering directly for courses and earning CEUs or a SDSU certificate?

　　Yes　　　　No

Does your project require Information Technology support, including but not limited to computers, networking, security, application software, data storage, etc?

　　Yes　　　　No

Have you budgeted for all IT needs in your direct costs? ***For assistance in planning for IT costs, please see your college coordinator.***

　　Yes　　　　No

Have you discussed your IT needs with your department/school/college coordinator?

　　Yes　　　　No

Does your project propose development of new curriculum?

　　Yes　　　　No

Is cost sharing required in the guidelines by the sponsor?

　　Yes　　　　No

Does the budget include support for SDSU students, such as stipends, tuition, fellowships/scholarships or *other non-payroll reimbursements* such as books or travel?
(Any non-payroll payments must be coordinated with the SDSU Financial Aid Office prior to issuance.)

　　Yes　　　　No

Are subcontracts anticipated in your budget?

　　Yes　　　　No

Is **SDSU** space included in the budget for your proposed project?

　　Yes　　　　No

SDSU space should be checked "yes" if any additional university space is needed to conduct your sponsored project. This would include space needs over and above already-assigned office, lab, or classroom space located on the SDSU campus for yourself or SDSU CoPI(s). (Space at the Alvarado Medical Center, Sky Park building, or other SDSURF-owned or leased space is not defined as SDSU space.)

Will there be any **SDSU Research Foundation** space needs for your proposed project either for yourself or Co-PI(s)?

　　Yes　　　　No

If yes to SDSURF space, is the project requesting:

Is any new or additional space needed, if so select from the options:

Location/Address of Requested SDSURF space:

　　Office　　　　　　　　　Lab

　　Vivarium Use　　　　　　Training

　　Medical　　　　　　　　Clinic

　　Conference/Classroom　　Other:

If this is a research project, will it require the use of drones or other Unmanned Aerial Systems (AES)?

Yes   No

DSU Federal Aviation Administration (FAA) Drone Committee approval is required for all faculty and staff at SDSU or SDSURF using drones or other Unmanned Aerial Systems (UAS)* in their research at SDSU or SDSURF. Failure to secure approval of drone or other UAS use in you research at SDSU or SDSURF may result in the following: loss or delay of funding, personal liability for FAA fines, and/or personal liability for fines issued by other governmental agencies. To apply for SDSU FAA Drone Committee approval, the FAA Drone Committee application can be accessed using the following link: https://sdsu.infoready4.com/#competitionDetail/1761770

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REGULATORY

Does your project involve any of the following compliance regulations?

DEA controlled Substances/Precursor Chemicals?

   Yes   No

Biological Hazards/Recombinant DNA use?

   Yes   No

Chemical Hazards?

   Yes   No

Ionizing Radiation?

   Yes   No

Diving?

   Yes   No

Intellectual Property/Patents/Copyright?

Intellectual Property produced through sponsored research administered by the Foundation must be assigned to the Foundation to comply with federal law and adhere to best practices. Read this document for a detailed explanation as to why assignment of IP to the Foundation is necessary.

   Yes   No

Security Classifications/Restrictions?

   Yes   No

Will the project data you use/produce be considered confidential or have access restrictions to non-project or non-U.S. personnel?
(Note: this is not in reference to confidentiality related to the use of human subjects)

   Yes   No

Publication Restrictions?

   Yes   No

At Risk Activities?

   Yes   No

Will this project include work conducted outside the United States?

   Yes   No

If yes, Indicate specific countries, activities and foreign collaborators.

Will this project involve foreign national faculty, staff, students or sub-recipients as collaborators?

Yes   No

If yes, Briefly describe the proposed countries, activities and/or non- U.S. entities involved, and type of information or materials to be shared. If the project involves foreign nationals, whether or not employed by SDSU or SDSU Research Foundation, provide an explanation of the foreign national's affiliation.

Is international travel required in order to complete this project or planned for any conferences?

Yes   No

If yes, name the country of the planned international travel for work or for attending a conference.

Will any equipment, materials, technology or software be exported outside the USA by SDSU in the course of this project?

Yes   No

If yes, briefly describe the proposed export:

Is your proposal in response to a funding opportunity related to COVID-19?   Yes   No

Is this proposal being submitted to a private company?

Yes   No

Is this proposal being submitted to a Hispanic-Serving Institution (HSI) funding opportunity?

Yes   No

HSI Funding Opportunity Number:

Is it in response to a Small Business Innovation Research (SBIR) or Small Business Technology Transfer (STTR) funding opportunity?

Yes   No

Please indicate funding opportunity number

Additional comments (if necessary):

For Internal Use Only

Mark "Yes" if this is for a new PI and requiring an ORG code?

    Yes

If "Yes" for a new PI, what is their academic status?

    Emeritus Faculty

    Full-Time Faculty

    Adjunct Faculty

    Part-Time faculty

    Other

If "Yes" for a new PI, please provide their Department and College

Mark "Yes" if the Sponsor is new and requiring a "New Agency Request" be completed

    Yes

If "Yes" for a new Sponsor, please provide the sponsor's web site address

If "Yes" for New Sponsor, what type of entity is it?

    Federal (F)

    State (C)

    Local (L)

    For Profit (P)

    Foundation (D)

    International (I)

    Other (O)

Do you need a devbud folder created?

    Yes

    No, already created

Does the due date need to be added to the deadline calendar?

    Yes

    No, already added

Proposal Type:

Project Type: